



## PRESS RELEASE

Founded in 1992, PEAK Financial Group, Canada's premier independent full service dealer, has an unrivalled reputation in the financial services industry and provides its expertise to a network of over 1,000 independent financial Advisors and registered professionals, well established in wealth management, mutual funds, securities, insurance and financial planning.

PEAK Financial Group has over \$ 6 billion in assets under administration and an enviable life insurance portfolio. PEAK Financial Group is comprised of 5 member companies: PEAK Investment Services Inc., PEAK Financial Services Inc., PEAK Securities Inc., PEAK Insurance Services Inc. and Promutuel Capital Financial Services Firm Inc.

Thanks to the shared values of integrity, independence, and innovation within PEAK Financial Group, PEAK and its network of independent financial Advisors have earned the trust of over 135,000 investors, from coast to coast.

### Information

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### **PEAK Financial Group has strengthened its Compliance Department with the appointment of Jean Carrier as Vice-President of Compliance**

PEAK Financial Group is proud to announce the appointment of Jean Carrier as Vice President of Compliance for PEAK Financial Group's member companies.

As a former Assistant Director of Inspection for the Autorité des marchés financiers (AMF) for over three years, Jean Carrier will bring to PEAK his extensive 25 years of experience in the financial services sector in Canada. In addition to significantly strengthening the Compliance Department's expertise, this appointment reflects PEAK Financial Group's priority in establishing Compliance as a key asset in the development of the independent practice. The appointment of Jean Carrier as Head of the Compliance team will undoubtedly strengthen the support to independent financial Advisors in the application of regulations, development of best practices in Compliance, and prevention assistance for potential audits.

"I am thrilled of Jean Carrier's arrival in the firm. For over 18 years, PEAK has had enviable records in Compliance. This opportunity allows the firm to continue to position itself as a first-class player in Compliance in the industry and to better support Advisors," highlighted Robert Frances, President and CEO of PEAK Financial Group.

"Working at one of Canada's largest independent dealers is a remarkable experience. PEAK Financial group's vision and its core value of independence have offered Advisors considerable objectivity when exercising their profession ", declared Jean Carrier.

Jean Carrier holds a Bachelor of Business Administration. He is also a member of the Ordre des comptables agréés. Jean Carrier has held a number of significant positions in many companies in the financial services industry. His leadership and experience will undoubtedly enable PEAK to continue to advance successfully amongst the independent full service brokerage.